BUSOWN-1 [] **Section:** Business Ownership

We would like to know whether you have ever owned a business. By business, we mean any activity operated with ***regularity*** for the purpose of generating ***income or profit***. We are interested in all incorporated companies and partnerships in which you had any ownership share, as well as unincorporated businesses that you may have operated as a sole proprietor, independent contractor, consultant, or free-lancer. This even includes informal businesses such as cleaning services, gardening services, and the selling of goods out of your home, as long as they generated income and were operated on a regular basis. The only businesses we are not interested in are those operated on a highly sporadic basis, those carried out purely as a hobby, and those in which you were merely a shareholder or investor with no role in the operation of the company.

Since you were 18 years old, have you ever owned a business that would be of interest to us according to this description?

(**INTERVIEWER: PLEASE SEE HELP SCREEN FOR FURTHER INFORMATION.)**

1 YES
0 NO ...(Go To BUSOWN-23B)

Default Next: BUSOWN-2
Lead-In: ONJS-9800 [Default], QES-BA [Default], QES-92-LOOP-END [Default]

BUSOWN-2 [] **Section:** Business Ownership

[esbus_text1] How many such businesses have you owned?

ENTER NUMBER: ☐
If Answer = 0 Then Go To BUSOWN-23_CHK1

Default Next: BUSOWN-LOOP1-BEGIN
Lead-In: BUSOWN-1 [Default]

BUSOWN-LOOP1-BEGIN [] **Section:** Business Ownership

REPEAT

COMMENT: BEGIN LOOPS TO UPDATE/VERIFY EMPLOYERS FROM DATE OF LAST INTERVIEW

Default Next: BUSOWN-4
Lead-In: BUSOWN-2 [Default]

BUSOWN-4 [] **Section:** Business Ownership

[busown_text1([busown-loop1])] that you owned. What (is/was) the name of this business?

(**INTERVIEWER:** IF BUSINESS HAS A NAME, ENTER THAT NAME. OTHERWISE, ENTER SHORT DESCRIPTION OF JOB ACTIVITIES/OCCUPATION. THIS DESCRIPTION WILL BE USED AS THE NAME OF THIS JOB IN SUBSEQUENT QUESTIONS. SOME EXAMPLES INCLUDE: "FREELANCE WRITER", "CLEANING HOUSES", " CONSULTING DESIGNER", OR "LANDSCAPING AND YARD WORK".)

ENTER BUSINESS NAME OR DESCRIPTION: [ ]

Default Next: BUSOWN-5
Lead-In: BUSOWN-LOOP1-BEGIN [Default]

BUSOWN-5 [] **Section:** Business Ownership

In what year was [busown_name([busown-loop1])] originally established?

Enter Date: [ ]
Year

Default Next: BUSOWN-6
Lead-In: BUSOWN-4 [Default]

BUSOWN-6 [] **Section:** Business Ownership

In what month and year did you acquire an ownership share in [busown_name([busown-loop1])]?

Enter Date: [ ] [ ]
Month Year

Default Next: BUSOWN-7
Lead-In: BUSOWN-5 [Default]

BUSOWN-7 [] **Section:** Business Ownership

How did you acquire ownership in [busown_name([busown-loop1])]? Did you establish the business either alone or with partners, receive ownership as a gift, purchase ownership, inherit ownership, acquire ownership through marriage, or was it something else?

1 Established the business alone or with partners
2 Received ownership as a gift
3 Purchased ownership
4 Inherited ownership
5 Received ownership through marriage
6 Other (specify)

Default Next: BUSOWN-8
Lead-In: BUSOWN-6 [Default]

BUSOWN-8 [] **Section:** Business Ownership

What was your reason for establishing or acquiring this business?

ENTER VERBATIM RESPONSE:

Default Next: BUSOWN-9
Lead-In: BUSOWN-7 [Default]

BUSOWN-9 [] **Section:** Business Ownership

Prior to establishing or acquiring this business, had you ever owned or worked for a related type of business?

1 YES
0 NO

Default Next: BUSOWN-10
Lead-In: BUSOWN-8 [Default]

BUSOWN-10 [] **Section:** Business Ownership

What sources of money did you use to establish or acquire this business?

(**INTERVIEWER**: RESPONDENTS SHOULD REPORT **ONLY THE SOURCES THAT THEY THEMSELVES PROVIDED**, AND NOT SOURCES USED BY PREVIOUS OWNERS AND/OR CO-OWNERS.)

SELECT ALL THAT APPLY.
(**INTERVIEWER**: PLEASE SELECT ALL THAT APPLY.)

1 Personal or family savings or assets
2 Personal or family home equity loan
3 Personal or business credit card
4 Business loan or investment from family or friends
5 Business loan from federal, state or local government
6 Business loan from a bank or financial institution
7 Other (specify)
0 None needed

Default Next: BUSOWN-11
Lead-In: BUSOWN-9 [Default]

BUSOWN-11 [] **Section:** Business Ownership

About how much money did you use to establish or acquire the business?

ENTER AMOUNT: [ ]
If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-11_UNF1

Default Next: BUSOWN-12
Lead-In: BUSOWN-10 [Default]

BUSOWN-11_UNF1 [] **Section:** Business Ownership

Did you use $50,000 or less?

1 YES ...(Go To BUSOWN-11_UNF5)
0 NO

If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-12

Default Next: BUSOWN-11_UNF2
Lead-In: BUSOWN-11 [-2:-1]

BUSOWN-11_UNF2 [] **Section:** Business Ownership

Did you use $100,000 or less?

1 YES ...(Go To BUSOWN-12)
0 NO

If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-12

Default Next: BUSOWN-11_UNF3
Lead-In: BUSOWN-11_UNF1 [Default]

BUSOWN-11_UNF3 [] **Section:** Business Ownership

Did you use $250,000 or more?

1 YES ...(Go To BUSOWN-12)
0 NO

If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-12

Default Next: BUSOWN-11_UNF4
Lead-In: BUSOWN-11_UNF2 [Default]

BUSOWN-11_UNF4 [] **Section:** Business Ownership

Did you use $1,000,000 or more?

1 YES

0 NO

Default Next: BUSOWN-12
Lead-In: BUSOWN-11_UNF3 [Default]

BUSOWN-11_UNF5 [] **Section:** Business Ownership

Did you use $25,000 or more?

1 YES ...(Go To BUSOWN-12)
0 NO

If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-12

Default Next: BUSOWN-11_UNF6
Lead-In: BUSOWN-11_UNF1 [1:1]

BUSOWN-11_UNF6 [] **Section:** Business Ownership

Did you use $10,000 or more?

1 YES ...(Go To BUSOWN-12)
0 NO

If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-12

Default Next: BUSOWN-11_UNF7
Lead-In: BUSOWN-11_UNF5 [Default]

BUSOWN-11_UNF7 [] **Section:** Business Ownership

Did you use $5,000 or more?

1 YES
0 NO

Default Next: BUSOWN-12
Lead-In: BUSOWN-11_UNF6 [Default]

BUSOWN-12 [] **Section:** Business Ownership

What (is/was) the legal form of this business? (Is/Was) it a...

1 Sole proprietorship
2 Partnership or limited liability partnership (LLP)
3 Limited liability corporation (LLC)
4 Sub-chapter S corporation
5 General corporation
6 Nonprofit organization
7 Other (specify)

Default Next: BUSOWN-13
Lead-In: BUSOWN-11_UNF1 [-2:-1], BUSOWN-11_UNF2 [-2:-1], BUSOWN-11_UNF2 [1:1], BUSOWN-11_UNF3 [-2:-1], BUSOWN-11_UNF3 [1:1], BUSOWN-11_UNF5 [-2:-1], BUSOWN-11_UNF5 [1:1], BUSOWN-11_UNF6 [-2:-1], BUSOWN-11_UNF6 [1:1], BUSOWN-11 [Default], BUSOWN-11_UNF4 [Default], BUSOWN-11_UNF7 [Default]

BUSOWN-13 [] **Section:** Business Ownership

What type of business (is/was) [busown_name([busown-loop1])]? (IF NECESSARY, READ:) What (does/did) the company make or do?

ENTER VERBATIM RESPONSE:

Default Next: BUSOWN-14
Lead-In: BUSOWN-12 [Default]

BUSOWN-14 [] **Section:** Business Ownership

At its largest, how many people worked for this business, including you? **Please include** part-time and temporary workers, and **exclude** outside contractors.

(**INTERVIEWER**: PROBE FOR APPROXIMATE NUMBER IF NECESSARY.)

ENTER NUMBER:

Default Next: BUSOWN-15
Lead-In: BUSOWN-13 [Default]

BUSOWN-15 [] **Section:** Business Ownership

At it's largest, did this business have one physical location, multiple physical locations or no specific physical location?

1 One physical location
2 Multiple physical locations
3 No specific physical location

Default Next: BUSOWN-16
Lead-In: BUSOWN-14 [Default]

BUSOWN-16 [] **Section:** Business Ownership

Approximately how much did this business generate in sales or revenue during a typical year?

(INTERVIEWER: IF R HAS DIFFICULTY WITH "TYPICAL" YEAR, ASK ABOUT *REVENUE IN LAST CALENDAR YEAR* OR *THE LAST YEAR OF OWNERSHIP*, AND ENTER A COMMENT.)

ENTER AMOUNT: [ ]

Default Next: BUSOWN-17
Lead-In: BUSOWN-15 [Default]

BUSOWN-17 [] **Section:** Business Ownership

Do you still maintain an ownership share in this business?

1 YES ...(Go To BUSOWN-21)
0 NO

Default Next: BUSOWN-18
Lead-In: BUSOWN-16 [Default]

BUSOWN-18 [] **Section:** Business Ownership

In what year did your ownership end?

Enter Date: ☐
Year

Default Next: BUSOWN-19
Lead-In: BUSOWN-17 [Default]

BUSOWN-19 [] **Section:** Business Ownership

How did your ownership end? Did you sell the business, shut down the business or was it something else?

1 Sold the business
2 Shut down business
3 Other (specify)

Default Next: BUSOWN-20
Lead-In: BUSOWN-18 [Default]

BUSOWN-20 [] **Section:** Business Ownership

At the time you (left/shut down) this business, what was your ownership share?

ENTER PERCENTAGE: ☐
If Answer >= 1 AND Answer <= 99 Then Go To BUSOWN-22

Default Next: BUSOWN-LOOP-END
Lead-In: BUSOWN-19 [Default]

BUSOWN-21 [] **Section:** Business Ownership

What is your current ownership share?

ENTER ☐

PERCENTAGE
SHARE:
If Answer >= 1 AND Answer <= 99 Then Go To BUSOWN-22

Default Next: BUSOWN-LOOP-END
Lead-In: BUSOWN-17 [1:1]

BUSOWN-22 [] **Section:** Business Ownership

(Is/Was) this business own(ed) exclusively by members of your family. (READ IF NECESSARY:) Please include spouses, domestic partners, parents or guardians, brothers, sisters of other close relatives.

1 Yes
0 No

Default Next: BUSOWN-LOOP-END
Lead-In: BUSOWN-20 [1:99], BUSOWN-21 [1:99]

BUSOWN-LOOP-END [] **Section:** Business Ownership

UNTIL ([busown-loop1]==[busnum] || [busown-loop1] == 10 || [busnum] == 0)

COMMENT: END EMPLOYER LOOP WHEN ALL EMPLOYERS ACCOUNTED FOR

Default Next: BUSOWN-23_CHK1
Lead-In: BUSOWN-20 [Default], BUSOWN-21 [Default], BUSOWN-22 [Default]

BUSOWN-23_CHK1 [] **Section:** Business Ownership

[busown-1] == 1 || [busnum] > 0 || [es-busnum] > 0

COMMENT: BEGIN LOOPS TO UPDATE/VERIFY EMPLOYERS FROM DATE OF LAST INTERVIEW

If Answer = 1 Then Go To BUSOWN-23A

Default Next: BUSOWN-23B
Lead-In: BUSOWN-2 [0:0], BUSOWN-LOOP-END [Default]

BUSOWN-23A [] **Section:** Business Ownership

Prior to acquiring your (first) business, had any of your family members ever owned a business? (READ IF NECESSARY:) Please include spouses, domestic partners, parents or guardians, brothers, sisters of other close relatives.

1 YES
0 NO ...(Go To BUSOWN-25)

Default Next: BUSOWN-24A
Lead-In: BUSOWN-23_CHK1 [1:1]

BUSOWN-23B [] **Section:** Business Ownership

Have any of your family members ever owned a business? (READ IF NECESSARY:) Please include spouses, domestic partners, parents or guardians, brothers, sisters of other close relatives.

1 YES
0 NO ...(Go To BUSOWN-25)

Default Next: BUSOWN-24B
Lead-In: BUSOWN-1 [0:0], BUSOWN-23_CHK1 [Default]

BUSOWN-24A [] **Section:** Business Ownership

Did you work for any of those family members prior to acquiring your (first) business? (READ IF NECESSARY:) Please include spouses, domestic partners, parents or guardians, brothers, sisters of other close relatives.

1 YES
0 NO ...(Go To BUSOWN-25)

Default Next: BUSOWN-25
Lead-In: BUSOWN-23A [Default]

BUSOWN-24B [] **Section:** Business Ownership

Did you work for any of those family members? (READ IF NECESSARY:) Please include spouses, domestic partners, parents or guardians, brothers, sisters of other close relatives.

1 YES
0 NO

Default Next: BUSOWN-25
Lead-In: BUSOWN-23B [Default]

BUSOWN-25 [] **Section:** Business Ownership

Has anyone, including yourself, ever applied for a U.S. patent for work that you significantly contributed to?

1 YES ...(Go To BUSOWN-26)
0 NO

Default Next: BUSOWN-29
Lead-In: BUSOWN-23A [0:0], BUSOWN-23B [0:0], BUSOWN-24A [0:0], BUSOWN-24A [Default], BUSOWN-24B [Default]

BUSOWN-26 [] **Section:** Business Ownership

[busown-1] == 1

COMMENT: BEGIN LOOPS TO UPDATE/VERIFY EMPLOYERS FROM DATE OF LAST INTERVIEW

If Answer = 0 Then Go To BUSOWN-28A

Default Next: BUSOWN-27
Lead-In: BUSOWN-25 [1:1]

BUSOWN-27 [] **Section:** Business Ownership

Was any of the work leading to a patent application performed at or for a business that you owned?

1 YES

0 NO

Default Next: BUSOWN-28A
Lead-In: BUSOWN-26 [Default]

BUSOWN-28A [] **Section:** Business Ownership

How many U.S. patents have been obtained for work that you significantly contributed to?

1 SELECT TO ENTER NUMBER
2 IF VOLUNTEERED: STILL PENDING ...(Go To BUSOWN-29)

If Answer >= -2 AND Answer <= -1 Then Go To BUSOWN-29

Default Next: BUSOWN-28B
Lead-In: BUSOWN-26 [0:0], BUSOWN-27 [Default]

BUSOWN-28B [] **Section:** Business Ownership

(How many U.S. patents have been obtained for work that you significantly contributed to?)

ENTER NUMBER: ☐

Default Next: BUSOWN-29
Lead-In: BUSOWN-28A [Default]

BUSOWN-29 [] **Section:** Business Ownership

Do you consider yourself to be an entrepreneur?

1 YES
0 NO

Default Next: STOCK_OPTIONS_CHK1
Lead-In: BUSOWN-28A [-2:-1], BUSOWN-28A [2:2], BUSOWN-25 [Default], BUSOWN-28B [Default]