

KAUFFMAN JUNIOR  
FACULTY FELLOWSHIP  
IN ENTREPRENEURSHIP  
RESEARCH

Ewing Marion

KAUFFMAN  
Foundation

CALL FOR NOMINATIONS

2012

# KAUFFMAN JUNIOR FACULTY FELLOWSHIP IN ENTREPRENEURSHIP RESEARCH

Dear Colleague:

The Ewing Marion Kauffman Foundation is pleased to announce that the nomination period is now open for the Kauffman Junior Faculty Fellowship in Entrepreneurship Research. This program recognizes junior faculty who are beginning to establish a record of scholarship and exhibit the potential to make significant contributions to the body of research in the field of entrepreneurship. The Kauffman Foundation will award up to seven fellowships to junior faculty members from universities across the United States. Each Fellow's university will receive a grant of \$40,000 over two years to support the research activities of the Fellow.

This program will help launch a cohort of world-class scholars into this young and exciting field, thus laying a foundation for future scientific advancement. The research produced by these fine scholars will be translated into knowledge with application for policymakers, educators, service providers, and entrepreneurs.

The Kauffman Foundation is proud to sponsor this important research initiative. Please take a moment to read the following pages for a more complete description of the Kauffman Junior Faculty Fellowship in Entrepreneurship Research. We encourage you to nominate qualified individuals for this fellowship. We also ask that you forward this Call for Nominations to colleagues who would be interested in nominating other qualified candidates. The call for nominations may be found at **[www.kauffman.org/kjff](http://www.kauffman.org/kjff)**.

All nominations must be submitted via the online application provided at **[www.kauffman.org/kjff](http://www.kauffman.org/kjff)** by 5:00 p.m. Central Standard Time on Monday, January 23, 2012. The nominee will then be invited to complete the online application by 5:00 p.m. Central Standard Time on Monday, March 12, 2012.

Thank you for helping us identify promising emerging scholars in the field of entrepreneurship.

Sincerely,



Robert J. Strom, Ph.D.

Director, Entrepreneurship Research & Policy

## GRANT REQUIREMENTS

### **DESCRIPTION OF GRANT:**

The Kauffman Junior Faculty Fellowship in Entrepreneurship Research is intended to support the research activities of eligible junior faculty members who are actively pursuing research in the field of entrepreneurship.

### **ELIGIBILITY:**

Eligible candidates must meet the following criteria:

1. Tenured or tenure-track junior faculty members at accredited U.S. institutions of higher education.
2. Received a Ph.D. or equivalent doctoral degree between January 1, 2007 and December 31, 2010.
3. Currently researching issues of theoretical and practical importance to the domain of entrepreneurship.

*Note: Kauffman Foundation associates and family members of Kauffman Foundation associates are not eligible for this program.*

### **FUNDING:**

Grant awards will be made to the recipient's institution. Grant awards may be used to pay for costs associated with the Fellow's research, but may not include salary supplements, course buyouts, or summer salaries. Kauffman policy does not allow for overhead or indirect costs to be paid as a part of the grant.

Approximately fifty percent (50%) of the grant award will be disbursed in autumn 2012 for the 2012-2013 academic year. The remaining funds will be disbursed upon receipt of a progress report (including financials) from the Fellow during autumn 2013 for the 2013-2014 academic year. It is expected that the grant will conclude on August 31, 2014. Requests for extensions will be considered on an individual basis.

### **DELIVERABLES:**

Faculty Fellows will submit a final written report with an explanation of research conducted (both in progress and completed) under the Fellowship, as well as a financial report of monies spent.

### **PROPRIETARY RIGHTS:**

*All documents, forms and other materials prepared in connection with this grant will be the property of the grant recipient. However, faculty members receiving these awards will grant an irrevocable, royalty-free license in perpetuity for the Kauffman Foundation to use such materials for its own publications and for posting on the Kauffman Foundation's website. In furtherance of this license, the researcher will represent and warrant that the submitted materials are original with the researcher and do not infringe on or violate the intellectual property or privacy rights of any person or entity.*

*The Kauffman Foundation will be entitled to use the researcher's photo and biography in connection with the receipt of this grant, and we will give the researcher credit for any verbatim use of this research and, where appropriate, for any derivative use.*

*In addition, we require that with any publication of this research and in any papers that result from this grant, the researcher include this language: **"This research was funded in part by the Ewing Marion Kauffman Foundation. The contents of this publication are solely the responsibility of <Researcher Name>."***

## SUBMISSION GUIDELINES

**Only submissions that comply with these guidelines will be considered. The Foundation reserves the right to withdraw from consideration any application that violates the application guidelines outlined in this call for nominations.**

### **Nominator responsibilities:**

Nominators should visit [www.kauffman.org/kjff](http://www.kauffman.org/kjff) to nominate eligible junior faculty members. Nominators will complete an online information form about the nominee as well as a letter of support describing the nominee's accomplishments to date and his or her potential to make significant contributions to the body of entrepreneurship research. Multiple nominations are permitted by a single nominator, and universities may have multiple faculty members nominated for the Fellowship. Self-nominations are not permitted. Nominations must be made by 5:00 p.m. Central Standard Time on Monday, January 23, 2012. This is a firm deadline.

### **Nominee responsibilities:**

The nominee will be e-mailed a login and password to complete the remainder of the application at [www.kauffman.org/kjff](http://www.kauffman.org/kjff), which consists of:

- A professional statement regarding his or her planned research agenda and activities for the next three to five years and how the Fellowship funds will assist with that research (no more than 1,000 words) A separate page is required for the use of any references such as end notes or bibliography. The reference page is not considered part of the word count.
- Two (2) papers submitted electronically is required. Each paper must be written by the nominee and must be either a working paper or have been accepted for publication. At least one Sole-Authored paper is preferred, but not required.
- The nominee's curriculum vitae.
- A letter of support from his or her dean, department head,

or equivalent university official indicating the nominee's accomplishments to date, potential to make significant contributions to the body of entrepreneurship research, and confirming institutional support of the nominee.

Applications must be completed by the nominee. Nominees submitting applications will be notified via e-mail that their submission has been received. Applications may not be mailed or faxed.

***The nominee's completed application MUST be received by 5:00 p.m. Central Standard Time on Monday, March 12, 2012.***

Direct all inquiries to: [kjff@kauffman.org](mailto:kjff@kauffman.org)

## TIMETABLE

Deadline for receipt of nominations . . . . . January 23, 2012  
(5:00 p.m. Central Standard Time)

Deadline for receipt of completed applications. . . . . March 12, 2012  
(5:00 p.m. Central Standard Time)

Notification of decision to all applicants. . . . . Tuesday, May 1, 2012

Announcement of award winners at the American Economic Association  
Annual Meeting, San Diego, CA . . . . . January 4-6, 2013

It is expected that Kauffman Junior Faculty Fellowship recipients will participate in the AEA meeting in San Diego. Airfare and hotel expenses will be provided by the Kauffman Foundation separate from the award.

## FREQUENTLY ASKED QUESTIONS

### **How can I apply for the Kauffman Junior Faculty Fellowship?**

Fellowships are considered through nomination only. Once nominated, all nominees must complete an application to be considered for the Fellowship.

### **When can I nominate someone for a Kauffman Junior Faculty Fellowship?**

Fellowship nominations will begin November 28, 2011.

### **Do nominees need to be affiliated with the college of business of their universities?**

No. The Kauffman Junior Faculty Fellowship in Entrepreneurship Research

encourages applications from a variety of academic disciplines. However, the research must address questions of importance to entrepreneurship.

**Does the nominee need to have a Ph.D.?**

No, candidates may possess a D.B.A. or other doctoral degree equivalent to a Ph.D.

**Are U.S. citizens serving as junior faculty members at universities outside of the U.S. eligible for this fellowship?**

No. Only junior faculty members of accredited U.S. institutions of higher education are eligible.

**Can someone nominate more than one person for this fellowship?**

Yes. The nominator may nominate more than one person, but each submission must be made separately per the guidelines outlined on page 4 of this document.

**Can I nominate myself for this fellowship?**

No.

**Does the Kauffman Junior Faculty Fellowship make grants larger than \$40,000?**

No. All Fellowships are for \$40,000 and are payable over two years.

**Are recipients of the Kauffman Dissertation Fellowship Program, or any other form of Kauffman funding, eligible for the Kauffman Junior Faculty Fellowship in Entrepreneurship Research?**

Yes. As long as all of the other eligibility requirements are met.

**Does the award include overhead or indirect costs for the applicant's institution?**

No. Kauffman Foundation policy does not permit overhead or indirect costs.

**Are there limits to how the grant funds may be utilized?**

Yes. Fellowship funding cannot be used for salary supplements, course buyouts, or summer salaries for the Fellows. However, the funding may support the work of graduate students and/or research assistants, as well as other expenditures directly related to the Fellows' research.

**Does the nominee need to include a formal budget?**

No, although the professional statement should include a general description of how the funds will be utilized. Should the nominee receive the Fellowship, a formal budget will be required before distribution of funds.

**Are only assistant professors eligible for this fellowship?**

No. All nominees, regardless of rank, must have received their doctoral degrees between January 1, 2007 and December 31, 2010. Both tenured and tenure-track faculty are eligible.

**May a nominee submit co-authored papers as a part of the application?**

Yes, it is preferred that at least one of the two papers submitted should be a single-authored paper.

**I applied previously but was not selected. May I be nominated/apply again this year?**

Yes, you may be nominated/apply again if you were not previously selected to receive a Fellowship and you still meet all the eligibility criteria.

**A colleague nominated me for the KJFF program. When may I begin working on my application?**

Nominees who meet criteria will be sent an invitation to apply for the Kauffman Junior Faculty Fellowship after their nomination has been received. Applicants will then have until 5:00 Central Standard Time on March 12, 2012 to complete their application.

**As a nominee, will I receive the reviewer's comments regarding my application?**

Unfortunately the reviewer's comments are not released to nominees.

**Is there an example of a successful application available?**

No, because of the confidential nature of the contents in the application, this information cannot be disseminated.

**My research is already being supported by another fellowship. Am I still eligible to apply even though my research is already being funded by another fellowship/grant/etc.?**

Yes. The Kauffman Junior Faculty Fellowship is a competitive program. Funds from the Ewing Marion Kauffman Foundation can be used in conjunction with other sources of funding.

## KAUFFMAN JUNIOR FACULTY FELLOWSHIP RECIPIENTS:

### **2010 KAUFFMAN JUNIOR FACULTY FELLOWSHIP RECIPIENTS**

FRANCISCO BUERA, *University of California, Los Angeles*

BRETT ANITRA GILBERT, *Texas A&M University*

JEREMY GREENE, *Harvard University*

WILLIAM KERR, *Harvard Business School*

RAMANA NANDA, *Harvard Business School*

### **2009 KAUFFMAN JUNIOR FACULTY FELLOWSHIP RECIPIENTS**

AARON K. CHATTERJI, *Duke University*

WAVERLY W. DING, *University of California at Berkeley*

GERSON DUSHNITSKY, *University of Pennsylvania*

JON ECKHARDT, *University of Wisconsin - Madison*

ANDREW J. NELSON, *University of Oregon*

## GENERAL TERMS AND CONDITIONS:

1. This Call for Nominations ("CFN") is not and shall not be construed to be a contract, offer, or request for an offer. Those responding to this CFN receive no rights whatsoever as a result of their submissions. The Kauffman Foundation will not have any express or implied obligations or responsibilities to those who respond and will not otherwise be bound by any terms or conditions, except to the extent set forth in a definitive, final, written agreement duly executed by the Foundation, the award recipient(s), and his or her institution. There is no commitment by the Foundation that such a final agreement will be executed, even if the Foundation enters into negotiations with a nominee(s), proposed recipient(s), or his or her institution.
2. The Kauffman Foundation in its sole and exclusive discretion may reject any or all nominations with or without notice or reasons, withdraw this CFN at any time before or after delivery of submissions, or if no nomination is accepted, abandon the call for nominations.
3. The Kauffman Foundation further reserves the right in its sole and exclusive discretion to waive irregularities or defects in any submission, but in no event will the Foundation have any obligation to do so. Moreover, the Foundation will not be responsible for errors or omissions by anyone making a submission, and the Foundation shall be the sole judge of the responsiveness, appropriateness and completeness of any and all submissions.
4. The Kauffman Foundation reserves the sole and exclusive right in its discretion to modify the timeline for decision-making or otherwise modify or amend this CFN as it deems appropriate.
5. All responses to this CFN become the property of the Kauffman Foundation. Regardless of any markings identifying the submission or its content as proprietary or confidential, the Kauffman Foundation reserves the right to disclose or use any information contained in the submissions and other presentations responsive to this CFN.
6. The Kauffman Foundation is not responsible for and will not reimburse any costs incurred in submitting materials or information pursuant to this CFN, including but not limited to evaluating, responding, providing follow-up, negotiating, and otherwise complying with it.
7. In submitting their materials and information, nominees certify that the work product they propose to create or use if they are an award recipient will not infringe on or violate the intellectual property rights (including but not limited to trademarks, copyrights, patents, trade secrets, moral rights) or privacy rights of any person or entity. Nominees further certify that they have or will have such ownership or use rights in such work product sufficient to allow the Foundation to achieve its purposes as generally provided for in this CFN, including rights granted to the Foundation as described above.
8. Award recipients agree that, if requested by the Foundation, they will provide additional information about any graduate students, research assistants, and other third parties engaged by the award recipient to provide services. The Foundation reserves the right in good faith to approve any such persons in order to ensure consistency with the Foundation's expectations of quality and character.
9. The Kauffman Foundation reserves the right to request additional information from any or all nominees. Nominees agree to make themselves available for follow-up as reasonably requested by the Foundation.

## NOTICE OF NONDISCRIMINATORY POLICY AS TO PARTICIPANTS

The Ewing Marion Kauffman Foundation admits participants into its programs without regard to race, color, age, gender, religion, sexual orientation, national and ethnic origin, disability and veteran's status thereby permitting access to all rights, privileges, programs, and activities generally accorded or made available to program participants at the Kauffman Foundation. The Kauffman Foundation does not discriminate on the basis of race, color, age, gender, religion, sexual orientation, national and ethnic origin, disability and veteran's status in administration of its educational policies, admissions policies, tuition assistance and other Kauffman Foundation-administered programs.



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